

Annual Compliance Guideline Reference	Description	SPI Networks (Gas) Pty Ltd ABN 43 086 015 036
1.1 Legal Entity	<i>(a) Nominate the type of legal entity the service provider is according to the specified kinds of legal entity in section 131 of the NGL.</i>	The service provider is a legal entity registered under the Corporations Act 2001.
	<i>(b) what is the registered business name and ABN of the service provider legal entity providing the covered pipeline services.</i>	The service provider is SPI Networks (Gas) Pty Ltd ABN 43 086 015 036
	<i>(c) provide an outline of the group structure which is controlled by or which the service provider is a part (including identification of the head company, nature of investment or entity, relationship to the service provider and proportion of assets owned/share of investment within the group) This should include any assets (businesses) it owns or that own it. The group structure should include business that are beneficially controlled such as trustee companies, jointly owned or operated business such as partnerships or joint ventures, businesses that are significant investments or controlled. This can also be represented as an organisation chart.</i>	<p>An outline of the group structure can be found in Attachement 1. The entity licensed by the ESC in Victoria to provide services by means of distribution pipelines is SPI Networks (Gas) Pty Ltd. This entity is a subsidiary of SPI (No.9) Pty Ltd. SPI (No.9) Pty Ltd is one of the distribution subsidiaries. SPI Networks (Gas) Pty Ltd is the entity that carries out the role of service provider for the gas distribution business and is the entity that would satisfy the meaning of Service Provider in the NGL.</p> <p>On the 12th October 2009, the AER granted SP AusNet an exemption for SPI Management Services Pty Ltd (SPIMS) and Data Measurement Solutions Pty Ltd (DMS) from the annual compliance reporting requirements (refer to Attachment 2).</p>
1.2 Preventing or hindering access	<i>(a) Is the service provider aware of any claims that it has prevented or hindered access to services on the covered pipeline within the terms of section 133 of the NGL?</i>	SPI Networks (Gas) is not aware of any claims that it has prevented or hindered access to its pipelines
1.3 Supply and haulage of natural gas	<i>(a) Does a producer supply natural gas through the covered pipeline at a place other than the exit flange of the producers processing plant?</i>	SPI Networks (Gas) receives gas only from the producers exit flange.
1.4 Queuing requirements	<i>(a) Has the service provider complied with the queuing requirements of the applicable access arrangement during the year?</i>	<p>SPI Networks (Gas) complies with the queuing requirements as defined in SP AusNets Access Arrangement: 5.5 Queuing Policy</p> <p>5.5.1 Applicability - This Queuing Policy is applicable to requests for new Connections or modifications to existing Connection and is subject to the Extensions and Expansion Policy. 5.5.2</p> <p>Procedure (a) The service provider will administer requests by Prospective Users for Connection or a request by a User or Prospective User for a modification to an existing Connection in the following manner; (1) The Service Provider will administer requests in the order they are received (on "first come, first served" basis), including advising the prospective user as to the charge (if any) for undertaking or modifying the Connection; and (2) The Service Provider may amend the charge first specified pursuant to clause 5.5.2(a)(1) prior to the Connection being made, if additional requests for undertaking or modifying a Connection are received and those additional requests allow the recovery of the charge over a large or different group of Prospective Users or Users.</p>
1.5 Service Provider providing light regulation services must not price discriminate	<i>(a) Does the service provider provide light regulation services?</i>	SPI Networks (Gas) does not provide light regulation services.
	<i>(b) If so, are there any differences in the prices of the provision of those services? Please provide an explanation as to why these price differences exist.</i>	SPI Networks (Gas) does not provide light regulation services.
s 2.1 Carrying on of a related business	<i>(a) Provide a list of associates of the service provider that take part in a related business and for each associate describe what the nature of the related business is.</i>	SPI Networks (Gas) Pty Ltd ABN 43 086 015 036, does not have any associates which take part in a related Business.
	<i>(b) Provide a list of associates that are service providers and/or provide pipeline services.</i>	As SPI Networks (Gas) does not have any associates that take part in a related Business, the question is not applicable.
s.2.2 Marketing staff and the taking part in related businesses	<i>(a) Provide a list of associates of the service provider that are directly involved in the sale, marketing or advertising of pipeline services.</i>	As SPI Networks (Gas) does not have any associates which take part in a related business, the question does not apply.

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	<i>(b) Provide a statement as to whether or not any of the service provider's marketing staff are also officers, employees, consultants, independent contractors or agents of an associate of the service provider that takes part in a related business.</i>	As SPI Networks (Gas) does not have any associates which take part in a related business, the question does not apply.
	<i>(c) Provide a statement as to whether or not any of the service provider's officers, employees, consultants, independent contractors or agents are also marketing staff of an associate of the service provider that takes part in a related business.</i>	As SPI Networks (Gas) does not have any associates which carry on a related business, the question does not apply.
s.2.3 Separate accounts must be prepared, maintained and kept	<i>(a) Provide a statement as to whether or not the service provider has prepared, maintained and kept a separate set of accounts in respect of the services provided by every covered pipeline owned or operated by the service provider.</i>	SPI Networks (Gas) has prepared, maintained and submitted a separate set of accounts for the covered pipeline. These are known as the Gas Regulatory Accounts, and are based on calendar year and submitted four months post year end i.e. 30 April 2009 (latest submission, submitted to AER).
	<i>(b) Name the legal entity or entities in which the separate accounts are reported, maintained or kept for the services provided by each covered pipeline owner or operator?</i>	SPI Networks (Gas) Pty Ltd ABN 43 086 015 036
	<i>(c) Provide a statement as to whether or not the service provider has prepared, maintained and kept a consolidated set of accounts in respect of the whole of the business of the service provider.</i>	On an annual basis SPI Networks (Gas) prepares and maintains and submits Gas Regulatory Accounts.
	<i>(d) Name the legal entity in which the consolidated set of accounts are reported, maintained or kept for the services provided by each covered pipeline owner or operator?</i>	SPI Networks (Gas) Pty Ltd ABN 43 086 015 036
	<i>(e) Provide a copy of the most recently lodged annual financial reports with the Australian Securities and Investments Commission or if no such reports exists other similar audited financial reports prepared for or provided to a state or territory department, agency or body under relevant state or territory legislation.</i>	Attachment 6 contains the SPI Networks (Gas) Pty Ltd Special Purpose Financial Report for year end 31 March 2009.
s. 2.4 Additional ring fencing requirements or exemptions	<i>(a) Does the service provider have any additional ring fencing requirements?</i>	No.
	<i>(b) What are these requirements?</i>	N/A
	<i>(c) Provide a statement that these additional ring fencing requirements have or have not been met.</i>	N/A
	<i>(d) Does the service provider have any exemptions for the minimum ring fencing requirements?</i>	N/A
	<i>(e) What are these exemptions?</i>	N/A
	<i>(f) By what jurisdictional regulator and when where these exemptions granted?</i>	N/A
s.2.5 Associate contracts	<i>(a) Has the service provider entered into or given effect to any new associate contracts, or varied the terms and conditions of an existing associate contract?</i>	No.

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	<i>(b) For each new or varied associate contract, please indicate the date the new or varied associate contract was entered into or given effect?</i>	N/A
	<i>(c) For each new or varied associate contract, please indicate if the contract or variation was approved by the AER and the date that it was approved?</i>	N/A
	<i>(d) If the associate contract was not approved by the AER, please indicate what date the new or varied associate contract was provided to the AER?</i>	N/A
3.1 Making access arrangements or terms and conditions of access available	<i>(a) Has the service provider published the approved access arrangements on website?</i>	The GAAR is available on the SP AusNet website and Essential Services Commissions (ESC) website.
	<i>(b) Provide the web site address where this access arrangement can be accessed and the date that this access arrangement was provided on the web site</i>	The SP AusNet web address is http://www.sp-ausnet.com.au . This document was published on the website in October 2008 The ESC address is http://www.esc.vic.gov.au . This document was published on the website in May 2008.
	<i>(c) Has the service provider received any requests from the AER to provide to prospective users generally other information specified as reasonably necessary to determine if access should be sought.</i>	To the best of our knowledge we are not aware of any such requests from the AER
	<i>(d) Provide details of when and how this request was met.</i>	N/A
(ii) Publishing approved competitive tender process access arrangement	<i>(a) Where there is an approved competitive tender process access arrangement in place for a covered pipeline, has the service provider published the approved access arrangement on its website?</i>	N/A. The Access Arrangement was not developed via a competitive tender process therefore the question does not apply to to SPI Networks (Gas)
	<i>(b) Provide the website address where this access arrangement can be accessed and the date this access arrangement was provided on the web site.</i>	N/A
(iii) Publishing terms and conditions of access to light regulation services	<i>(a) Where there is access to light regulation services on a covered pipeline, has the service provider published tariffs and other terms and conditions for these services on its website?</i>	N/A. SPI Networks (Gas) does not provide light regulation services
	<i>(b) Provide the web site address where this information can be accessed and the date this information was first made available on the site.</i>	N/A
	<i>(c) Has the service provider had access negotiations regarding light regulation services? If so, the following will need to be reported, the name of the party requesting the service, the pipeline service requested and the outcome of the access negotiations.</i>	N/A
3.2 Access Determinations	<i>(a) Has the service provider been party to an access determination?</i>	Yes
	<i>(b) when did the determination become operative?</i>	1st January 2008
	<i>(c) For what period is the access determination in place?</i>	Determination in place Until 31st December 2012

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3.3 Confidentiality	<i>(a) Provide a statement that the confidentiality requirements under Rule 137 of the NGR have or have not been met.</i>	Statement of confidentiality requirements under Rule 137 of the NGR is attached (refer to Attachment 3).
	<i>(b) Has the service provider established an internal protocol or policy guideline or procedure manual for the handling of confidential information? If so, please provide the AER with the relevant policy manual.</i>	Refer to Attachment 4 for the mechanism SPI Networks (Gas) Pty Ltd has in place to ensure the protection of confidential information. Attachment 5 contains one sample of training delivered to all staff (refer to Attachment 5).
3.4 Bundling	<i>(a) Has the service provider bundled any of its services when providing access or negotiating access with a prospective user?</i>	SPI Networks (Gas) does not bundle its services in providing access to the gas network
	<i>(b) If so, provide a description of the bundled services and related conditions of access.</i>	N/A