

The purpose of this Notice is to ensure that the parties that are involved in a dispute/issue/request for compensation, meet to determine a process for resolution. This resolution planning meeting can be attended and chaired by the parties themselves, the resolution adviser, or an independent chair at the parties' election.

You can initiate the dispute resolution process by serving a Stage 1 notice on one or more **parties** to the **relevant dispute** and give a copy of the Notice to the Adviser.

*User notes: the definitions are relevant to see who can use this dispute resolution provision: **party** to a relevant dispute means an eligible person whose interests are involved in or directly affected by the relevant dispute.*

## 1. Parties

### 1.1 Initiating Organisation

**User Note:** *Ensure that you are an eligible person means any of the following:*

- (a) AEMO;
- (b) a Registered participant;
- (c) a person classified by some other provision of these rules or the Procedures as a person to whom the dispute resolution provisions of this Part apply.

Category of eligible person: <i>Please provide details</i>	
Name of initiating organisation:	
Name of Dispute Management Contact (DMC) in initiating organisation: <i>See Part 15C division2 Rule 135FD(1)</i>	
Phone:	
Mobile phone:	
Email:	

## 1.2 Party (ies)

	Party 1	Party 2
Organisation:		
Name of Dispute Management Contact (DMC): <i>See Part 15C division2 Rule 135FD(1)</i>		
Phone:		
Mobile phone:		
Email:		

## 2 What type of dispute is it?

*User note; As well as a dispute or interpretation of the Rules this section can also be used for such matters including whether there has been an unintended Scheduling Result (Part 19 Division 2 Rule 217), the payment of compensation from the Participant compensation fund (Part 19 Division 2 Subdivision 4).*

*It must be a relevant dispute and one that is not excluded.*

**Excluded dispute means:**

- (a) *an access dispute; or*
- (b) *a dispute about the content, preparation or publication of a budget; or*
- (c) *a dispute that is classified as an excluded dispute under another provision of these rules.*

### 2.1 Circle as appropriate the type of relevant dispute or rule dispute :

- (a) a dispute between eligible persons about:
  - (i) the application or interpretation of these rules or the Procedures; or
  - (ii) a liability or alleged liability under these rules or the Procedures; or

- (iii) a matter that is, by agreement between the parties to the dispute, to be resolved under this Part; or
- (b) a matter that is under some other provision of these rules or the Procedures to be determined under this Part.

## 2.2 Please specify the provision of the Rules or the Procedure which is relevant?

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## 2.3 Circumstances giving Rise to the dispute

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## 3 Timing

*User Note: A stage 1 Notice must be served within a period fixed by the rules for the relevant dispute or if no such period is fixed by the rules within 90 business days after the relevant dispute arises. [(Part 15 Division 3 rule 135H(2) (b))]*

*A dispute is taken to arise when the circumstances giving rise to the dispute first comes to the knowledge or ought reasonably have come to the knowledge of the party that initiates the dispute resolution process.*

### 3.1 Date of relevant dispute arising/ other information re timing

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### 3.2 Period fixed by the rules for this dispute [*time and clause number*]

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## 4 Meeting

### 4.1 Please discuss and agree:

- a date and time.
  - mode: face2face, phone, video,
  - a chair person: none, neutral facilitator, mediator or resolution adviser to chair.
  - Who will pay for any chair, adviser time and disbursements, (50/50 or other)
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### 4.2 Agenda:

#### Process for moving forward to resolution:

- Direct discussions (what level in the organisation)
- Mediation
- Other

#### Other parties to be served with Stage 1 Notice:

- Name/ details of DMC contact.
- Other issues raised.
- Wording of letter to be sent to them.
- What level of information to send.
- Confidentiality Requested/agreed.
- Is the fact that the relevant dispute exists confidential?
- Documents /information exchanged.

*Please note: A party may no later than 60 days after the service of this notice refer the matter to the Adviser by serving a Stage 2 notice.*